

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Design ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	2006/0136590	6/22/06	Barrett et al			
	AB	5,619,648	4/8/97	Canale et al.			
	AC	5,805,810	9/8/98	Maxwell			
	AD	5,884,246	3/16/99	Boucher et al.			
	AB	5,923,848	7/13/99	Goodhand et al.			
	AF	6,219,594	4/17/01	Lazaridis et al.			
	AG	6,237,027	5/2/01	Namekawa			
	AH	6,266,664	7/24/01	Russell-Falla et al.			
	AI	6,351,794	2/26/02	Spilo et al.			
	AJ	6,507,866	1/14/03	Barchi			
	AK	6,591,291	7/8/03	Gabber et al.			
	AL	6,868,436	3/15/05	Fleming, III			
	AM	7,032,023	4/18/06	Barrett et al.			
	AN	6,351,764	2/26/02	Voticky et al.			
	AO	6,072,942	6/6/00	Stockwell et al.			
▼	AP	6,636,894	10/2003	Short et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Design ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes <input type="checkbox"/> No <input type="checkbox"/>
	AQ	EP 720333 A2	7/9/96	EPO			
/KS/	AR	WO 99/33188	7/1/99	Australian			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Design ID	Document
	AS	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,212,184	04/03/2001	Venkatachary et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
	AL						<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,643,686	11/4/2003	Hall, Robert J.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,643,686	11/4/2003	Hall, Robert J.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Christopher J. Wright et al.	
(37 CFR §1.98(b))		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,321,267	11/20/2001	Donaldson			11/23/1999
/KS/	AB	6,643,686	11/04/2003	Hall			12/16/1999
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
/KS/	AL	WO 99/03238	01/21/1999	PCT			Full
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	2002/0107953	08/08/2002	Ontiveros et al.			
	AB	5,546,390	08/13/1996	Stone			
	AC	5,548,533	08/20/1996	Gao et al.			
	AD	5,606,668	02/25/1997	Shwed			
	AE	5,634,040	05/27/1997	Her et al.			
	AF	5,699,514	12/16/1997	Durinovic-Johri et al.			
	AG	5,704,047	12/30/1997	Schneeberger			
	AH	5,742,587	04/21/1998	Zornig et al.			
	AI	5,862,325	01/19/1999	Reed et al.			
	AJ	5,999,932	12/07/1999	Paul			
	AK	6,052,709	04/18/2000	Paul			
	AL	6,088,736	07/11/2000	Manning et al.			
	AM	6,092,115	07/18/2000	Choudhury et al.			
	AN	6,167,029	12/26/2000	Ramakrishnan			
	AO	6,167,434	12/26/2000	Pang			
	AP	6,182,226	01/30/2001	Reid et al.			
	AQ	6,219,706	04/17/2001	Fan et al.			
	AR	6,321,267	11/20/2001	Donaldson			
	AS	6,580,790	06/17/2003	Henry et al.			
	AT	6,591,301	07/08/2003	Li et al.			
	AU	6,654,373	11/25/2003	Maher, III et al.			
	AV	6,654,787	11/25/2003	Aronson et al.			
	AW	6,662,230	12/09/2003	Eichenstaedt et al.			
	AX	6,701,522	03/02/2004	Rubin et al.			
	AY	6,742,123	05/25/2004	Foote			
▼	AZ	6,751,668	06/15/2004	Lin et al.			

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

/KS/	BA	6,763,467	07/13/2004	Radatti et al.			
	BB	6,772,334	08/03/2004	Glawitsch			
	BC	6,778,498	08/17/2004	McDyson			
	BD	6,829,772	12/07/2004	Foote et al.			
	BE	6,834,310	12/21/2004	Munger et al.			
▼	BF	6,839,759	01/04/2005	Larson et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
/KS/	BG	EP 0889668	01/7/1999	EPO			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/KS/	BH	Eric Allman, <u>SENDMAIL™ Installation and Operation Guide Version 8.310 for Sendmail Version 8.10</u> , Sendmail, Inc., (84 pages).
	BI	<u>Allowing controlled SMTP relaying in Sendmail 8.9</u> , (2 pages), http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html .
	BJ	<u>Anti-Spam Configuration Control</u> , (5 pages), http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html .
	BA	<u>Anti-Spam Provisions in Sendmail 8.8</u> , (4 pages), http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html .
	BB	Mark Durham, <u>Spam Control in 8.10</u> , (3 pages), http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5 .
	BC	Paul Boutin, <u>RFC 2476: Message Submission Agent</u> , (3 pages), http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476 .
	BD	Paul Boutin, <u>RFC 2554: SMTP Authentication</u> , (4 pages), http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554 .
	BE	<u>Relaying Denied/Allowed (in sendmail 8.8/8.9)</u> , Last Update 2/11/2000, (2 pages), http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied... ...
	BF	rfc2222, J. Myers, <u>Simple Authentication and Security Layer (SASL)</u> , (16 pages), October 1997, The Internet Society (1997), http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.htm... ...
	BG	rfc2554, J. Myers, <u>SMTP Service Extension for Authentication</u> , (11 pages), March 1999, The Internet Society (1999), http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.htm... ...
▼	BH	<u>Using SMTP AUTH in Sendmail 8.10</u> , (2 pages), March 27, 2000, http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmtpauth .

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

/KS/	CA	Godfrey, J., "Instant Spam: It's Tough to Swallow," <u>Home Office Computing</u> , [online] Vol. 16, No. 11, p. 24 [retrieved on 2004-12-29]. Retrieved from <URL: ">http://proquest.umi.com/pdqweb?index=21&sid=2&srchmode=1&vinst=PROD&fmt=4&startpage...>
------	----	--

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	2002/0116463	08/22/2002	Hart			
	AB	2003/0167402	09/04/2003	Stolfo et al.			
	AC	2004/0064515	04/01/2004	Hockey			
	AD	2004/0083270	04/29/2004	Heckerman et al.			
	AE	2004/0093384	05/15/2004	Shipp			
	AF	6,044,260	03/28/2000	Eaton et al.			
	AG	6,161,130	12/12/2000	Horvitz et al.			
	AH	6,266,664	07/24/2001	Russell-Falla et al.			
	AI	6,330,590	12/11/2001	Cotten			
	AJ	6,507,866	01/14/2003	Barchi			
	AK	6,691,156	02/10/2004	Drummond et al.			
	AL	6,748,422	06/08/2004	Morin et al.			
	AM	6,829,635	12/07/2004	Townshend			
	AN	6,868,498	03/15/2005	Katsikas			
▼	AO	7,103,599	09/05/2006	Buford			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AP						
	AQ						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AR	
	AS	
	AT	
	AU	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

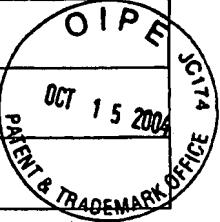
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	Group Art Unit 2141
(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,072,942	06/06/2000	Stockwell et al.			
↓	AB	6,615,241	09/02/2003	Miller et al.			
AC		6,643,685	11/04/2003	Millard			
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

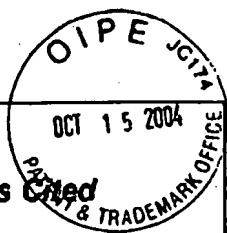
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	
		Filing Date November 3, 2003	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	5,577,197	11/19/1996	Beck			
	AB	5,877,724	03/02/1999	Davis			
	AC	6,535,517	03/18/2003	Arkko et al.			
▼	AD	6,757,836	06/29/2004	Kumar et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	AQ						
	AR						
	AS						
	AT						

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Notice of References Cited		Application/Control No. 09/668,104	Applicant(s)/Patent Under Reexamination BARRETT ET AL.
		Examiner April L Baugh	Art Unit 2141

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
KS	A	US-6,757,836	06-2004	Kumar et al.	714/4
	B	US-5,877,724	03-1999	Davis, Paul M.	342/357.1
	C	US-6,738,814	05-2004	Cox et al.	708/225
	D	US-5,577,197	11-1998	Beck, Lonnie P.	714/28
	E	US-6,535,517	03-2003	Arkko et al.	370/401
▼	F	US-6,219,786	04-2001	Cunningham et al.	713/152
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(e).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	O I P E P A T E N T & T R A D E M A R K O F F I C E S E P 1 6 2 0 0 4
		Filing Date November 3, 2003	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	5,475,839	12/12/1995	Watson et al.			
/KS/	AB	6,738,814	05/18/2004	Cox et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-074002	Application No. 10/698,933
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher J. Wright et al.	O I P E APR 08 2004 U. S. PATENT & TRADEMARK OFFICE JC98
		Filing Date November 3, 2003	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KS/	AA	6,529,955	03/4/2003	Sitaraman et al.			
	AB	6,418,420	07/9/2002	DiGiorgio et al.			
	AC	5,857,188	01/5/1999	Douglas			
	AD	6,018,619	01/25/2000	Allard et al.			
	AE	6,542,583	04/1/2003	Taylor			
	AF	6,430,619	08/6/2002	Sitaraman et al.			
	AG	5,732,212	03/24/1998	Perholtz et al.			
	AH	6,219,786	04/17/2001	Cunningham et al.			
	AI	6,286,049	09/4/2001	Rajakarunanayake et al.			
	AJ	US-2003/0145232	07/31/2003	Poletto et al.			
	AK	US-2002/0103916	08/1/2002	Chen et al.			
▼	AL	US-2002/0108059	08/8/2002	Canion et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AM	WO 02/19661 A2	03/7/2002	WIPO			
	AN						
	AO						
	AP						
	AQ						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AR	
	AS	
	AT	
	AU	

Examiner Signature /Kristie Shingles/	Date Considered 09/29/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	